

Dep't Ref
Rm 307

Attorney Docket: 2055MC/48747
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GUY A. ROULEAU ET AL.

Serial No.: 09/508,821

Filed: MARCH 17, 2000

Title: POLYMORPHIC CAG REPEAT-CONTAINER GENE
AND USES THEREOF

HG/
Refund by
Shirley Small
Entity

REQUEST FOR REFUND

Assistant Commissioner for Patents
Washington, D.C. 20231

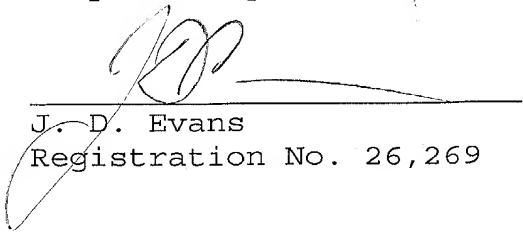
Sir:

Pursuant to 37 CFR 1.26(a), request is hereby made for a refund of half (\$420.00) of the application filing fee (\$840.00) already paid in connection with the above-identified patent application on March 17, 2000.

A Declaration Claiming Small Entity Status as a nonprofit organization is filed concurrently herewith.

Respectfully submitted,

May 16, 2000


J. D. Evans
Registration No. 26,269

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PATENT & TRADEMARK OFFICE

Applicant or Patentee: Guy A. ROULEAU et al.

Serial or Patent No.: PCT/CA98/00884 Attorney's Docket No.: 2055MC/48747

Filed or Issued: September 18, 1998

For: POLYMORPHIC CAG REPEAT-CONTAINING GENE AND USES THEREOF

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(d) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION McGill University
ADDRESS OF ORGANIZATION 845 Sherbrooke Street West, Montreal,
Quebec H3A 2T5, Canada

TYPE OF ORGANIZATION:

- ☒ UNIVERSITY OF OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled POLYMORPHIC CAG REPEAT-CONTAINING GENE AND USES THEREOF by inventor(s) Guy A. ROULEAU, Ridha JOOBER, Chawki BENKELFAT

described in

- ☐ the specification filed herewith
☒ application serial no. PCT/CA98/00884, filed 18 Sept. 1998
☐ patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to

and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

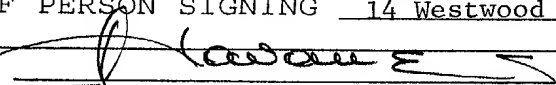
FULL NAME: _____
ADDRESS: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME: _____
ADDRESS: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME: _____
ADDRESS: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Alex Navarre, Ph.D.
TITLE IN ORGANIZATION Director, Office of Technology Transfer
ADDRESS OF PERSON SIGNING 14 Westwood Drive, Pointe Claire, Qc., Canada H9S 4Y5
SIGNATURE  DATE 09/05/2000